

# Command and consequence\*

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## 1 The problem of imperative consequence

Isn't this an instance of modus ponens?

(A1) Attack at dawn if the weather is fine!	$p \rightarrow q$
(A2) The weather is fine.	$p$
Therefore (A3) Attack at dawn!	$q$

Isn't this an instance of disjunctive syllogism?

(S1) Attack at dawn unless the weather is fine!	$p \vee q$
(S2) The weather is not fine.	$\neg q$
Therefore (S3) Attack at dawn!	$p$

Isn't this an instance of the fallacy of affirming the consequent?

(F1) Attack at dawn if the weather is fine!	$p \rightarrow q$
(F2) Attack at dawn!	$q$
*Therefore (F3) The weather is fine.	$p$

Arguments (A) and (S) are valid; argument (F) is invalid. (Invalid, or apparently invalid, arguments are noted in this paper by adding an asterisk to their conclusions). They are not, however, like the usual examples of such arguments. Their premises and conclusions include sentences in the *imperative* mood ("Attack at dawn!"), as well as the *indicative* ("The weather is fine.")

This poses a problem – the *problem of imperative consequence*. An argument is usually said to be valid iff it is truth-preserving – iff it cannot be that all its premises are true and its conclusion false. But imperatives (it is normally thought) are not truth-apt. They are not in the business of saying how the world is, and therefore cannot either succeed or fail in doing so.<sup>1</sup> The normal criterion of validity cannot be applied to arguments like (A), (S), and (F); or if we insist on applying it, it says that all such arguments, even (F), are valid, since their premises cannot all be true.

To solve the problem, we need to find a new criterion of validity, and I aim to propose such a criterion. I do this in section 3. In section 2, I discuss what I take to be the standard approach to the problem, and say why it can't possibly work. Before I do that, however, some remarks on what it would take to solve the problem.

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1 One solution to the problem is to claim that imperatives are in fact truth-apt. For discussion of this view, see my (2012).

## 1.1 Three virtues in a criterion of validity

The criterion I want must have the following three virtues. It must be: *general* – applicable to arguments consisting entirely of indicatives, entirely of imperatives, or of a mixture; *conservative* – in agreement with the usual definition given above for the special case of arguments consisting of all indicatives;<sup>2</sup> and finally *adequate* – the criterion must not say of a valid argument that it is invalid, or of an invalid argument that it is valid.

How can we decide whether a criterion is adequate? To decide that, we need an independent test for validity – one that is itself general and conservative (if it were not general it could not test a general criterion; if not conservative, it would convict a conservative criterion as inadequate) and yet one that does not itself constitute a criterion (or else my task of finding an adequate criterion would be circular). Here is such a rough-and-ready test: if someone utters all of the premises and yet refuses to also utter the conclusion of an argument (not just because they have a sore throat, but because they don't want to say *that*), would they be making a logical mistake? If yes, the argument is valid, otherwise invalid.<sup>3</sup>

By this standard, (A), for example is valid: if I have commanded you to attack at dawn if a condition is met, and asserted to you that that condition is met, what I have done is tantamount to a command that you attack at dawn. So if I refused to command you to attack at dawn, I am making a logical mistake; what I have already done is tantamount to what I refuse to do. In contrast (F) is invalid: in commanding (F1) I commanded you to attack at dawn if the weather is fine; I went on to command you to attack at dawn (whatever the weather). I would be making no logical mistake if I did not believe that the weather was fine. I would be breaking Grice's conversational maxims by commanding both (F1) and (F2), perhaps, but to do that is not to make a logical mistake.

This test for validity does not constitute a criterion because neither “making a logical mistake” nor “refuses to utter” are very precise concepts. My purpose here isn't to give a criterion of validity, but to elicit intuitive judgements of validity that we can use to calibrate a more exact criterion.

Why aim for a conservative criterion, given that I also aim for an adequate one? For two reasons. First, because conservativeness is a guide to adequacy across a large range of cases, and it is easier to check that a criterion is conservative than to expose it to a large number of examples of arguments consisting only of indicatives. Second, because I would like to avoid the task of fixing controversial problems with the classical definitions of validity. For example, given the definition given above (an argument is valid iff it cannot be that all its premises are true and its conclusion false), every argument with inconsistent premises is valid (for the premises cannot all be true). This is a problem, but it is not *my* problem, and solving it has little to do with the nature of the imperative mood. For similar reasons, I will be treating the English “if...then” conditional as a material conditional. This view has its problems, but it enables me to focus on the problem before us.

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2 Note that by “conservative” here, I do not mean what is often meant by this word in discussions of the “conservativeness of logic” in the related literature on “ought” and “is” - for example (Pigden 1989)

3 The rough-and-ready test cannot be applied to arguments whose conclusions have (conventional or conversational) implicatures that the premises lack. A person might, without making a logical mistake, be willing to assert (H1) “She was poor and honest” but unwilling to also assert (H2) “She was poor but honest”, even though (H1) logically entails (H2). Fortunately none of the examples we will need to apply it to have that feature.

## 2 The standard view

The *standard view* of imperatives, as I will call it, is very widely held. It stems from the plausible idea that imperatives and indicatives differ in the kind of speech act they are typically used to make. Imperatives are used to make *commands*; indicatives are used to make *assertions*.<sup>4</sup> While imperatives do not have truth values or truth-conditions, they do have something analogous: compliance values and compliance-conditions. An indicative is true iff the world is how it says it is; an imperative is *complied with* iff the world is how it commands that it be. Consider the following two sentences:

- (1) You attack at dawn.
- (2) Attack at dawn!

(1) is true iff you attack at dawn; (2) is complied with iff you attack at dawn.<sup>5</sup>

In formal semantics, the truth-conditions of an indicative are often reified – treated as a abstract object that a semantic theory may talk about. A usual way to do this is to regard truth-conditions as sets of possible worlds,<sup>6</sup> where the truth-conditions of a sentence S is the set of worlds at which S is true. So for example, the truth-conditions of (1) will be the set of worlds at which you attack at dawn. We can do something analogous for imperatives. Let the compliance-conditions of an imperative S be the set of worlds at which S is complied with. So the compliance-conditions of (2) will also be the set of worlds at which you attack at dawn.

It will be useful to have a more general label that covers both the relationship between an indicative and its truth-conditions (on the one hand) and the relationship between an imperative and its compliance-conditions (on the other). Let us use “*content*” for this. So the content of (1) is the set of worlds at which you attack at dawn; the content of (2) is the very same set. I will also use “*proposition*” to mean the kind of thing that is the content of a sentence, according to the standard view. For the purposes of this paper, propositions are sets of worlds.

So, imperatives and indicatives both have propositions as their contents; and an imperative and an indicative can even have the same proposition as their respective contents, as (1) and (2) do. What then, is the difference between an imperative and an indicative? (1) and (2) are alike in content; how do they differ? The difference is a difference of *force* – a difference of the kind of speech act (1) and (2) are used to make. (1) has *assertoric* force – it is used to make assertions – (2) has *imperative* force – it is used to make commands. What is the difference between assertoric and imperative force? To put it another way, what is the difference between an assertion and a command? In the

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4 I use the term “command” here in a technical sense which is broader than the usual non-technical one. Imperative sentences can be used to make speech acts that are not military-style orders: requests, suggestions, and the like. For the purposes of this paper, these are all to count as “commands” in my technical sense. J. L. Austin introduced the term “exercitive” for a broad category of speech acts including commands (Austin 1978, 155); but exercitives are a much broader category than I intend command to be, including bequeathings, finings, and that Austinian standby, namings of ships after dictators.

5 This example (like others in this paper) brushes over a difficulty in determining the tense and aspect of clauses in the imperative mood. (2) speaks of an attack to take place in the future, so perhaps (1) should be “You will attack at dawn.” I have ignored this because to consistently get it right would involve distracting and controversial excursions into the syntax and semantics of tense in natural language. For example, in (A1), “the weather is fine” speaks of the future, in spite of being in the present tense; this could be regarded as an implicit anaphora, with (A1) abbreviating “Attack at dawn if the weather is fine *at that time*!” To sort this out for every example in the text would be well beyond the scope of this paper.

6 Or, to accommodate “*de se*” content, sets of centered worlds, where a centered world is a possible world together with a time, a speaker, and perhaps other parameters. For the purposes of this paper, I will be speaking of propositions as “sets of worlds”, leaving it open whether “world” means possible world or centered world.

literature, this is sometimes described as a difference of “direction of fit”. An assertion aims to describe the world; a command to change it.<sup>7</sup>

## 2.1 Content-validity

The idea that both imperatives and indicatives have propositions as their contents gives us a very powerful tool to solve the problem of imperative consequence. For propositions themselves can stand in logical relationships. A collection of propositions  $p_1 \dots p_n$  *entail* a proposition  $q$  iff there is no possible world that is a member of each of  $p_1 \dots p_n$  and not a member of  $q$ . This is simply an exotic way of restating the traditional criterion of validity: a possible world is a member of a proposition iff that proposition is true at that world, so the definition of entailment above is just saying that  $p_1 \dots p_n$  *entail*  $q$  iff it can't be that each of  $p_1 \dots p_n$  is true and  $q$  false.

An argument is *content-valid* iff the contents of its premises jointly entail the content of its conclusion.

We might boldly conjecture that an argument is valid iff it is content-valid; and indeed all of the examples given in the introduction would confirm this. Content-validity is a general criterion of validity, because both imperatives and indicatives have contents; and it is conservative (in the sense given above) because it is equivalent to the traditional definition of validity in the special case of arguments consisting only of indicatives.

The same idea also enables us to solve an important subsidiary problem: how can there be logically complex imperatives, given that imperatives are not truth-apt. The classical logical connectives (“and”, “or”, negation, and the conditional) are normally thought to be truth-functional. For example, “and” pastes two subsentences together to make a complex sentence that is true iff both of the subsentences are true. But “and” cannot be doing that in “Attack at dawn and take no prisoners!” for neither “Attack at dawn!” nor “Take no prisoners!” are truth-apt.

The solution to this problem is similar to the definition of content-validity given above. The classical logical connectives can be thought of as operators on contents in a way that produces the truth-functional behaviour described above as a special case. So, for example, “and” pastes two subsentences together to make a complex sentence whose content is the set of all worlds that are members of the contents of both of the sub-sentences.

It's worth giving a precise statement of how this works for each of the classical logical connectives, as this will make a difference to the argument later. Writing “ $V(\varphi)$ ” for “the content of  $\varphi$ ”:

- $V(\ulcorner \varphi \wedge \psi \urcorner)$  is the set of all worlds  $w$  such that  $w \in V(\varphi)$  and  $w \in V(\psi)$
- $V(\ulcorner \varphi \vee \psi \urcorner)$  is the set of all worlds  $w$  such that  $w \in V(\varphi)$  or  $w \in V(\psi)$
- $V(\ulcorner \neg \varphi \urcorner)$  is the set of all worlds  $w$  such that  $w \notin V(\varphi)$
- $V(\ulcorner \varphi \rightarrow \psi \urcorner)$  is the set of all worlds  $w$  such that  $w \notin V(\varphi)$  or  $w \in V(\psi)$

We have covered a lot of ground in a short section here, so a summary is appropriate. We have seen a way of construing indicatives and imperatives as both having propositions as their contents, and we have seen a criterion of validity (content-validity) that pays attention only to the content of a sentence (and not to its force). We have also seen a way of understanding the logical connectives so that they too pay attention only to the content of a sentence. We might start to feel attracted to the

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<sup>7</sup> I have more to say about the differences between assertions and commands in section 3.5. To foreshadow: on my view assertions and commands differ in what mental states they express – assertions express beliefs, commands express intentions – and it is these mental states that differ in direction of fit.

following slogan: “logical relations are relations between propositions, not sentences”. Validity is a logical relation; so validity must be something like content-validity, a relation that only pays attention to the propositions expressed by the sentences that make up an argument, not to any other features of those sentences.

## 2.2 Fallacies of mood

Unfortunately content-validity is not sufficient for validity. There are intuitively invalid arguments that are content-valid. Here are two examples:

(Z1) You attack at dawn.

\*Therefore (Z2) Attack at dawn!

(Y1) Attack at dawn if the weather is fine!

(Y2) Let the weather be fine!

\*Therefore (Y3) You attack at dawn.

The rough-and-ready test shows these to be invalid. In the case of (Z) if I predict that you will attack at dawn, but refuse to command so, I am making no logical mistake. In the case of (Y), I want you to attack if the weather is fine; and I further command that the weather be fine. I am making no logical mistake, however, if I refuse to predict that you will attack at dawn. I might simply doubt that you (or the weather!) will carry out my orders.

(Y) and (Z) both also have the feature that if the imperative mood were removed from them – that is, if each imperative clause was replaced by the corresponding indicative – the result would be a valid argument:

(Z1) You attack at dawn.

⇒ You attack at dawn.

\*Therefore (Z2) Attack at dawn!

⇒ Therefore, you attack at dawn.

(Y1) Attack at dawn if the weather is fine!

⇒ You attack at dawn if the weather is fine.

(Y2) Let the weather be fine!

⇒ The weather is fine.

\*Therefore (Y3) You attack at dawn.

⇒ Therefore, you attack at dawn.

I call arguments such as these, which are invalid, but can be transformed into valid arguments by changing only the mood, *fallacies of mood*. The existence of these arguments shows straightforwardly that validity is not content-validity. If we are to give an adequate criterion of validity, it will have to somehow pay attention to more than the mere contents of the sentences that make up an argument.

### 2.3 Codifying the fallacies of mood

The existence of fallacies of mood shows that content-validity is not sufficient for validity. We might continue to hope, however, that it is necessary. If that's so, then we should be able to adequately define validity by saying that a valid argument is one that is content-valid, and which is not a fallacy of mood. For this to work, we need a criterion for an argument's being a fallacy of mood which does not itself appeal to validity (that would make our proposed definition of validity circular). A natural thought is that what makes an argument a fallacy of mood is (what else?) the mood of the premises and conclusion. What we need to discover is what patterns of moods in premises and conclusions make a content-valid argument valid. I call this the programme of *codifying the fallacies of mood*.

As an aid to doing this, I will introduce the following notation, derived from J. J. C. Smart (1984, 16). Let the *logical form* of a sentence be a notation of the form  $\alpha(\phi)$  where  $\alpha$  is the letter I for an imperative, or the letter A for indicative, and where  $\phi$  is a translation of the content of that sentence into a suitable logical notation (for purposes of this paper, propositional logic). Let the *argument form* of an argument be an assignment of logical forms to its premises and conclusion using a consistent vocabulary to represent the contents.

As an example, here is argument (A) with its argument form shown at right:

(A1) Attack at dawn if the weather is fine!	I( $p \rightarrow q$ )
(A2) The weather is fine.	A( $p$ )
*Therefore (A3) Attack at dawn!	I( $q$ )

Looking at the form of (A), we can see that it is formally valid (and thus content-valid) because the contents of the premises ( $p \rightarrow q, p$ ) jointly entail the content of the conclusion ( $q$ ). We can also see all of the information that could possibly be relevant to determining whether it is a fallacy of mood. For according to the standard view, all that mood does is to determine whether a sentence commands or asserts its content; and that information is shown (by the letters outside the parenthesis) in the argument form. A codification of the fallacies of mood would consist of a specification of which of these argument forms are fallacies of mood.

### 2.4 Hare's criterion

Here is an example of an attempt to codify the fallacies of mood, drawn from the work of R.M. Hare:<sup>8</sup>

An argument is *Hare-valid* iff (a) it is content-valid, and, (b) if the conclusion is indicative, then the contents of the indicative premises jointly entail the content of the conclusion, and, (c) if the conclusion is imperative, then the premises include at least one imperative.

Hare's criterion deals correctly with all the arguments we have considered so far. Clauses (b) and (c) are an attempt to codify the fallacies of mood – according to Hare's criterion, a fallacy of mood is an argument that fails to satisfy one or other of (b) or (c).

To understand how this works, let's look again at (Y) and (Z), with their argument forms:

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8 Principally, from Hare (1952, sec. 2.5) and (1967). Hare's other works clarify his views about the relationship between moral statements and imperatives a great deal; as I understand him, his basic views about the logic of imperatives remain much as they are set out in the *Language of Morals*. Clause (c) was particularly important to Hare, as it is connected with his arguments for prescriptivism – clause (c) together with prescriptivism explains why it is (allegedly) impossible to derive an ought from an is.

(Z1) You attack at dawn.	A(p)
*Therefore (Z2) Attack at dawn!	I(p)

(Y1) Attack at dawn if the weather is fine!	I(p→q)
(Y2) Let the weather be fine!	I(p)
*Therefore (Y3) You attack at dawn.	A(q)

The criterion rightly judges (Y) and (Z) invalid. (Y) fails to satisfy clause (b): it has an indicative conclusion, but the conclusion does not follow from its indicative premises alone (it has none). (Z) fails to satisfy clause (c): it has an imperative conclusion, but no imperative premises. It also rightly judges (A) valid.

Unfortunately, some arguments are Hare-valid but not intuitively valid by the rough-and-ready test. Notice, for example, that any argument that fails to satisfy clause (c) can be made to satisfy it by adding an imperative premise, even if that premise is irrelevant to the argument. Argument (X), below, results from adding such a premise to argument (Z). (X) is surely as invalid as (Z) is, but (X) is Hare-valid.

(X1) You attack at dawn.	A(p)
(X2) Stand on your head!	I(q)
*Therefore (X3) Attack at dawn!	I(p)

We might attempt to patch Hare's criterion against this counterexample in the following way. Say that a premise is *non-redundant* iff removing that premise results in an argument that is content-invalid.

An argument is *revised Hare-valid* iff (a) it is content-valid, and, (b) if the conclusion is indicative, then the contents of the indicative premises jointly entail the content of the conclusion, and, (c) if the conclusion is imperative, then the non-redundant premises include at least one imperative.

Peter Geach (1958) gave some other counterexamples to Hare-validity which also apply to revised Hare-validity.

(H1) Grimbly Hughes is the largest grocer in Oxford.  
Therefore (H2) Either do not go to the largest grocer in Oxford, or go to Grimbly Hughes!

Like the previous counterexample, this argument highlights a problem with clause (c). If this argument is valid (and it seems so, consulting the rough and ready test), then it is possible to have a valid argument whose conclusion is imperative and whose only premise is indicative.

Geach also gave another, more controversial counterexample to clause (b). Argument (A) is an instance of modus ponens, allowing us to derive an imperative from an indicative and a conditional. If that's valid, shouldn't the corresponding modus tollens argument be valid, deriving an indicative from an imperative and a conditional? Suppose that the king says to one of his retainers, "If you are a faithful subject, rise up, Sir George; but do not rise, stay on your knees, fellow!" The king is implying that George is not a faithful subject; Geach's suggestion is that he is doing so by offering a valid argument to that conclusion:

(G1) If you are a faithful subject, rise up, Sir George!	I(p→q)
(G2) But do not rise, fellow!	I(¬q)
Therefore (G3) You are not a faithful subject.	A(¬p)

(G) is not Hare-valid; it fails to satisfy clause (b). But Geach urges that it is valid; and this judgement receives some support from our rough-and-ready test. The king would be making a logical mistake if he commanded both (G1) and (G2), but yet believed that George was faithful.

It's not clear what to do about Geach's counterexamples. Hare dug his heels in and denied that they were valid. On the other hand, there's no reason to suppose that a Hare-like criterion could not be devised that would count them as valid.<sup>9</sup> To do either of these, however, is merely to prolong the agony for Hare's programme – as I will now argue, there are counterexamples to every possible Hare-like criterion; the fallacies of mood cannot be codified in any way that is consistent with the standard view.

## **2.5 The uncodifiability of the fallacies of mood.**

The counterexamples discussed in the previous section show that a certain criterion of validity – Hare's criterion – is not adequate. I will show that there can be no adequate criterion of validity consistent with the standard view. No counterexample alone can show this – what I have is a recipe for generating counterexamples – a recipe that always succeeds, if the standard view is correct.

I can show you two arguments that are of the same form (according to the standard view, in the sense of “form” described in section 2.3) but of which one is intuitively valid, and the other intuitively invalid (both of which intuitions are backed up by the rough-and-ready test described in section 1.1). Because the arguments are of the same form, any criterion that is consistent with the standard view must treat them the same – must say either that both are valid or both invalid. Either way, that criterion – whatever it is – suffers a counterexample.

Consider argument (B) below:

(B1) Flee for your life only if our plans are betrayed!

(B2) You flee for your life.

\*Therefore (B3) Let our plans be betrayed!

(B) is invalid. Let us apply the rough-and-ready test. In uttering (B1) I tell you to flee for your life only if our plans are betrayed. I then (B2) predict that you will flee for your life, affirming the antecedent of (B1). But that is not tantamount to a command on my part that our plans be betrayed. I would not be making a logical mistake if I was not willing to command this. I might simply pessimistically believe that you will fail to follow my orders.

Notice that (B1) is an “only if” conditional. I take it that “only if” conditionals are the converses of the corresponding “if” conditionals: “It is raining only if the streets are wet” is equivalent to “The

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<sup>9</sup> Bergström (1970), for example, suggests a way in which Hare could accept that (H) is valid.



streets are wet if it is raining”.<sup>10</sup> So, writing  $p$  for the proposition that you flee for your life, and  $q$  for the proposition that our plans are betrayed, the form of (B) is as shown below:

(B1) Flee for your life only if our plans are betrayed!	$I(p \rightarrow q)$
(B2) You flee for your life.	$A(p)$
*Therefore (B3) Let our plans be betrayed!	$I(q)$

But then (B) is of the same form as (A), which we thought was valid. Here is (A), with its form again:

(A1) Attack at dawn if the weather is fine!	$I(p \rightarrow q)$
(A2) The weather is fine.	$A(p)$
*Therefore (A3) Attack at dawn!	$I(q)$

Since the argument forms contain (if the standard view is true) all the information required to determine whether an argument is a fallacy of mood, and both (A) and (B) are content-valid, then either both (A) and (B) are valid, or both are invalid. But (A) is valid, and (B) is invalid, so the standard view is false.

Argument (B) is the “evil twin” of an argument we had already agreed was valid. But not only do valid arguments containing “if” have evil twins containing “only if”, valid arguments containing “only if” have evil twins containing “if”, as well. Remember argument (Y), which was an example of a fallacy of mood:

(Y1) Attack at dawn if the weather is fine!	$I(p \rightarrow q)$
(Y2) Let the weather be fine!	$I(p)$
*Therefore (Y3) You attack at dawn.	$A(q)$

(Y) is the evil twin of the valid argument (I), below:

(I1) Flee for your life only if our plans are betrayed!	$I(p \rightarrow q)$
(I2) Flee for your life!	$I(p)$
Therefore (I3) Our plans are betrayed.	$A(q)$

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<sup>10</sup> More generally, in an “if”-conditional, the subordinate clause is the antecedent and the main clause the consequent; in an “only if” conditional, the subordinate clause is the consequent and the main clause the antecedent. The subordinated clause is the one that appears immediately after the word “if”. In English (unlike propositional logic) the order of clauses in a conditional is irrelevant to which is the antecedent and which the consequent; also irrelevant is whether the word “then” appears. Thus:

“If $p$ , then $q$ ” is formalised...	$p \rightarrow q$
“ $p$ if $q$ ” ...	$q \rightarrow p$
“ $p$ only if $q$ ” ...	$p \rightarrow q$

Subordinated clauses cannot occur in the imperative mood, which fact is responsible for the widespread but mistaken view that conditionals cannot have an imperative antecedent. It is possible to put an imperative into the antecedent of a conditional by using “only if”, as I have done here.

There are alternative views of “only if”, which may allow the standard view to escape this argument. For example, it may be thought that an “only if” conditional is not the converse, but the converse contrapositive, of the corresponding “if” conditional. On that view “ $p$  only if  $q$ ” would be formalised “ $\neg q \rightarrow \neg p$ ”. This would only differ from the treatment of “only if” that I am assuming if contraposition is invalid (i.e.  $\text{if} \rightarrow$  is not the material conditional, as I assumed, but some non-contraposable conditional). So the standard view could potentially escape the evil twins problem by embracing some fancy account of the conditional. I have a few things to say about this: first, rumours of the death of the material conditional are greatly exaggerated; second, the evil twins problem might reoccur for certain kinds of non-contraposable conditional; third, this type of solution to the problem, even if possible, seems to me to be less elegant than the solution I offer myself in section 3.2.

(I) is intuitively valid. If I commanded both (I1) and (I2), I would be making a logical mistake if I did not believe that our plans had been betrayed. (Y), as we said before is invalid. But both are of the same argument form according to the standard view, so (I) is valid if and only if (Y) is.

Hare's criterion (for what it's worth) wrongly categorises (B) as valid, and (I) as invalid. But even if the criterion were patched, to, for example, categorise (I) as valid, that could only be at the cost of wrongly categorising (Y) as valid. There is no patch possible: any criterion that is consistent with the standard view, and thus with the conception of argument forms described in section 2.3 must be inadequate.

### 3 Preposcription semantics

What is the difference between (A) and (B), or between (I) and (Y)? I think that they are different in form (and the problem with the standard view is that it has no room for the difference in question). The difference is very clearly marked in English: in (A1), the consequent, but not the antecedent, of the conditional is in the imperative mood; in (B1), the antecedent, but not the consequent, of the conditional is in the imperative mood. The same is true of (I) and (Y).

Suppose we represented the imperative mood as a sentential operator, written  $!$ , and capable of taking narrow scope with respect to a conditional.<sup>11</sup> Then we could write the forms of (A) and (B) as follows:

(A1) Attack at dawn if the weather is fine!	$p \rightarrow !q$
(A2) The weather is fine.	$p$
Therefore (A3) Attack at dawn!	$!q$
(B1) Flee for your life only if our plans are betrayed!	$!p \rightarrow q$
(B2) You flee for your life.	$p$
*Therefore (B3) Let our plans be betrayed!	$!q$

The difference shown here between the forms of (A1) and (B1) is justified by the difference noted above having to do with their English grammar. In (A1),  $p$  stands for “the weather is fine”, which occurs in the indicative mood, and should be outside the scope of the imperative operator. In (B1)  $p$  stands for “you will flee for your life”, which occurs in imperative mood and should be inside. As before, I assume that “only if” conditionals are the converses of “if” conditionals. The difference in validity between (A) and (B) is elegantly explained by this move. (A) is an instance of modus ponens, and so must be valid; (B) is not, and so its validity is up for grabs.<sup>12</sup>

11 Treating the imperative as a sentential-like operator was first proposed by Hofstadter and McKinsey (1939). They however, do not allow this operator to take narrow scope with respect to a normal conditional. This is because their imperativising operator is not, strictly speaking, sentential – it does not produce a sentence, taking sentences as parameters (as the logical connectives of the propositional calculus do) but produces an “imperative” taking a “sentence” as a parameter. For Hofstadter and McKinsey imperatives are not sentences, and formulae in which they are used as such are not well formed. To deal with cases like (A1), they introduce a new set of operators that produce imperatives taking imperatives as parameters. This represents the logical vocabulary of natural languages (“if” “or” “and” etc.) as ambiguous in a way that it is not. The first treatment of the imperative mood as a genuine sentential operator was given by Chellas. He treats the imperative mood analogously to the obligation operator of a deontic logic and gives a possible worlds semantics. The price Chellas pays for this is that imperatives, in his formal language, are truth-apt! Chellas does not claim that natural language imperatives are truth-apt, though – this is simply a logically irrelevant difference between natural language and his formal system, he says. (Chellas 1968, 3) I aim to do better: like Chellas, my imperativising operator is genuinely sentential; like Hofstadter and McKinsey, my semantics does not (except in weird corner cases) assign any imperative a truth-value.

12 This syntactic move, of treating the imperative mood as a sentence operator, does not solve the problem of

The trouble with this is that it is inconsistent with the philosophical underpinnings of the standard view. Logical operators, such as the conditional, are, according to the standard view, operators on propositions; and propositions are not in themselves either imperatival or assertoric – the difference between assertion and command is a difference of force, not content. It doesn't make sense, therefore, for a conditional to have an imperatival consequent. The only way the standard view can deal with (A1) is to suppose that the occurrence of the imperative mood anywhere in a sentence marks that whole sentence as imperatival. That is just what we supposed implicitly in the previous section, and it led us straight into the problem of (A) and (B).

My proposal is to retain as much as possible of the standard view, while rejecting only those parts that led to trouble. I will retain: (a) that the content of an indicative is its truth conditions; (b) the content of an imperative is its compliance conditions; (c) classical logic; (d) that logical operators are operators on content; (e) that indicatives are truth-apt but imperatives are not. I will reject: (f) that the difference between assertion and command is a difference of force. In my view, the difference between assertion and command is a difference of content; contents may be intrinsically assertoric or intrinsically imperatival, or neither; and the imperative mood is a logical operator, operating on contents, and therefore able to take narrow scope.

In order to do this, we need to replace propositions as the contents of sentences. Propositions – sets of possible worlds, recall – aren't fine grained enough to act as contents under the new regime, for a given proposition doesn't carry enough information to determine whether it is assertoric or imperatival. I will replace them with another kind of set-theoretic construction out of possible worlds, called a preposcription.<sup>13</sup>

### 3.1 Introducing preposcriptions

A preposcription is a set of *pairs* of possible worlds. Suppose, for the sake of example, that there are just four possible worlds:

- $w_{fa}$  in which the weather is fine and you attack at dawn
- $w_{f*}$  in which the weather is fine and you do not attack at dawn
- $w_{*a}$  in which the weather is not fine and you attack at dawn
- $w_{**}$  in which the weather is not fine and you do not attack at dawn

A handy way of visualising a preposcription, then, is in a matrix<sup>14</sup> such as the one below:

---

imperative consequence by itself – a semantic treatment of this operator is also needed. As well as the philosophical problems mentioned in the text, below, there is the purely formal problem that some argument forms containing the imperative mood –  $!(p \wedge q)$  therefore  $!p$ , for example – are intuitively valid but are “up for grabs” in the same sense that the intuitively *invalid* (B) is – they are not instances of a classically valid argument form.

- 13 The semantic theory that follows owes a debt to Allan Gibbard's norm expressivism – see his (1990), especially chapter 5. Like me, Gibbard is concerned to combine non-cognitivism (in his case, about morality) with the thesis that sentences that are not truth-apt can entail one another; like me he does this by using a possible worlds semantics on which the points of evaluation are not possible worlds (as they would normally be), but pairs of a world with something else. The key formal difference between Gibbard's approach and mine (setting aside the philosophical difference that Gibbard is interested in moral statements and I am interested in imperatives) is in what that “something else” is: for Gibbard it is a “system of norms”; for me, a second world. That makes it possible for me to give a formal analysis of the imperative mood, by making it a logical operator that looks at the internal structure of the points of evaluation.
- 14 Matrices like these were introduced by Robert Stalnaker (1999), as a way of representing “propositional concepts”. Though Stalnaker's propositional concepts are formally similar to my preposcriptions, they play a quite different role in his paper. Also, Stalnaker's propositional concepts are functions from pairs of worlds to truth values, and so he writes T and F, where I would put a tick or a blank, respectively. Though I have no objection to trading in sets of things for functions from those things to boolean values, it would be quite misleading to identify tick and blank with

	$W_{fa}$	$W_{f*}$	$W_{*a}$	$W_{**}$
$W_{fa}$	✓	✓	✓	✓
$W_{f*}$	✓	✓	✓	✓
$W_{*a}$				
$W_{**}$				

“The weather is fine.”

Matrices like this one represent sets of pairs of worlds. Each cell represents the pair whose first member heads its row and whose second member heads its column. The ticked cells are in the set represented; the others are not.<sup>15</sup> The label says that this is the content of “The weather is fine”. I will explain why shortly.

Think, for the moment, of a preposcription as a representation of either the truth conditions of an assertion or the compliance conditions of a command. The *first* member of each pair (which is to say, the worlds shown at the *left* of the matrix, heading the *rows*) has to do with truth conditions; the *second* member of each pair (which is to say, the worlds shown at the *top* of the matrix, heading the *columns*) has to do with compliance conditions.

The content of an assertion, such as “The weather is fine” is the set of all pairs such that that sentence is true at the *first* member of the pair; that is, its matrix consists of a row of all ticks alongside each world at which the assertion is true – as shown in the matrix above. The content of a simple command, such as “Attack at dawn”, on the other hand, is the set of all pairs such that that sentence is complied with at the *second* member of the pair.

That is, writing  $V(\phi)$  for “the content of  $\phi$ ”:

$V(\phi)$ , where  $\phi$  is an simple indicative, is the set of all pairs  $(w, w')$  such that  $\phi$  is true at  $w$ .

$V(\phi)$ , where  $\phi$  is a simple imperative, is the set of all pairs  $(w, w')$  such that  $\phi$  is complied with at  $w'$ .

“Simple” here means not containing any propositional logical connective. I am assuming here that all simple indicative sentences are assertions, with truth-conditions, and that all simple imperatives are simple commands with compliance conditions – features (a) and (b) of the standard view.

So the content of (A3) “Attack at dawn!” is the set of all  $(w, w')$  such that you attack at dawn in  $w'$ . This is shown in the following matrix:

	$W_{fa}$	$W_{f*}$	$W_{*a}$	$W_{**}$
$W_{fa}$	✓		✓	
$W_{f*}$	✓		✓	
$W_{*a}$	✓		✓	
$W_{**}$	✓		✓	

“Attack at dawn!”

---

truth and falsity, as we will see below.

15 These matrices are consistent with there being more than four worlds – you can either make the idealising assumption that only these four worlds are possible, or think of each column and row as abbreviating an infinite number of columns or rows containing the same pattern of ticks and blanks.

Notice that the matrix for “The weather is fine” has all its columns the same – each row is either all ticks or all blanks; and the matrix for “Attack at dawn!” has all rows the same – each column is either all ticks or all blanks. This is the feature that distinguishes an assertoric from an imperatival preposcription:

A preposcription  $p$  is *assertoric* iff for each pair  $(w, w')$ ,  $(w, w') \in p$  iff  $(w, w) \in p$ .  
(i.e. iff every column of the matrix for  $p$  is identical).

A preposcription  $p$  is *imperatival* iff for each pair  $(w, w')$ ,  $(w, w') \in p$  iff  $(w', w') \in p$ .  
(i.e. iff every row of the matrix for  $p$  is identical).

Assertoric preposcriptions can be said to be true or false, either relative to a world, or absolutely:

$p$  is *true at a world*  $w$  iff every pair of worlds  $(w, w') \in p$ .  
(i.e. iff the matrix for  $p$  has a row of all ticks headed by  $w$ )

$p$  is *false at a world*  $w$  iff every pair of worlds  $(w, w') \notin p$ .  
(i.e. iff the matrix for  $p$  has a row of all blanks headed by  $w$ )

$p$  is *true* iff it is true at the actual world.  
 $p$  is *false* iff it is false at the actual world.

So the preposcription expressed by “The weather is fine” is true at  $w_{fa}$  and  $w_{f*}$  (the worlds where the weather is fine) and false at  $w_{*a}$  and  $w^{**}$  (the world where the weather isn't fine) just as we would like. These definitions apply to all preposcriptions, by the way, imperatival ones included. Imperatival preposcriptions, however, are neither true nor false<sup>16</sup> – there is no row in the matrix for “Attack at dawn” with either all ticks or all blanks – so there is no world at which it is either true or false. This agrees with the tradition that holds that imperatives are not truth-apt<sup>17</sup> – feature (e) of the standard view.

### 3.2 Conditional commands

The sharp-eyed reader will have noticed that it follows from the definitions above that some preposcriptions are neither assertoric nor imperatival. These preposcriptions are not the content of any assertion, nor the content of any command. To explain why this is, I must digress – away from preposcriptions and back to the problems with the standard view.

According to the standard view, every command is a command to the effect that a certain proposition be true. I will call such commands *simple commands*; so, according to the standard view, every command is a simple command. Now, if this is so, then a conditional imperative, such as (A1), must be a command to the effect that a conditional be true. That is to say, on the standard view, (A1) is equivalent to (A1') below:

16 With two exceptions: the set of all pairs of worlds (the matrix with all cells ticked) and the empty set (the matrix with all cells blank). These preposcriptions are both imperatival and assertoric – as makes sense, because both can be expressed either by a simple indicative (“The weather or not fine.” / “The weather is fine and not fine.” respectively) and or by a simple command (“Attack or do not attack!” / “Attack and do not attack!”). So without exception, every preposcription that is imperatival but not assertoric is neither true nor false.

17 It may be objected that I am here confusing truth-aptness with bivalence – with being either-true-or-false (perhaps, for example, “This man is bald”, said of a borderline case of baldness, is truth-apt, but not bivalent). I agree that truth-aptness is different from bivalence. To say that a sentence is not truth-apt is to say more than that it is neither true nor false. I'm not quite sure how to describe the difference except by giving contentious examples like the borderline case one. The crucial point of reply to this objection, though, is that my semantics is supposed to be *consistent* with imperatives not being truth-apt. It doesn't have to *entail* that they are not truth-apt. Whatever else a sentence must do to avoid being truth-apt, it must at least be neither true nor false, and I deliver that.

A1) Attack at dawn if the weather is fine!

$p \rightarrow !q$

A1') Let this be true: you attack at dawn if the weather is fine!

$!(p \rightarrow q)$

Since (B1) is a conditional imperative as well, on the standard view, it is equivalent to (B1'):

B1) Flee for your life only if our plans are betrayed!

$!p \rightarrow q$

B1') Let this be true: you flee for your life only if our plans are betrayed!

$!(p \rightarrow q)$

If that were all true, then it would have the effect of collapsing the distinction of form I tried to draw between arguments (A) and (B). For then both would be of the form  $!(p \rightarrow q)$ ,  $p$ , therefore  $!q$ . Not every command, therefore, is a simple command. In particular, conditionals in which the imperative mood takes narrow scope are not simple commands, but irreducibly *conditional commands*.<sup>18</sup>

The view that conditional imperatives are conditional commands, and not simple commands that a conditional be true, can be independently motivated. Consider the following example:

(3) Run if you see the monster!

(4) See the monster only if you run!

On the standard view, these are equivalent – both of them are ways of commanding that the conditional “if you see the monster, then you run” be true. I think that they are different: (3) asks you to conform your running to your seeing; (4) asks to conform your seeing to your running. (3) commands you as regards running, but leaves it up to you as regards seeing; (4) commands you as regards seeing, but leaves it up to you as regards running. I think that (3) and (4) are both conditional commands, and are semantically different both from each other and from (5), below:

(5) Let it be that either you do not see the monster, or you run!

(5) is a simple command – indeed, it is equivalent, on the standard view, to the simple command that the proposition “If you see the monster, then you run” be true. It leaves it up to you whether to run or to take care not to see the monster.

There are many different ways of incorporating conditional commands into a semantics of imperatives.<sup>19</sup> I suggest that we generalise the concept of compliance conditions slightly: conditional commands have *variable compliance conditions*. What they tell you to do depends on how things are. The compliance conditions of (3) depend on whether you see the monster: if you do, then to comply you must run; if you do not, then (3) is trivially complied with. Where we thought of a compliance condition as a set of possible worlds, you can think of a variable compliance condition as a function from possible worlds to compliance conditions. The content of (3) is the function that takes each world in which you see the monster to the set of worlds in which you run, and takes every world in which you do not see the monster to the set of all worlds.

This takes us back to preposcriptions. The preposcriptions that are neither assertoric nor imperative are the contents of imperative conditionals, and encode their variable compliance conditions. Here, for example, is the preposcription expressed by (A1):

18 The idea that conditional imperatives express conditional commands is much discussed in the literature on indicative conditionals. See for example (Edgington 1995, 287–290). There is also a classic discussion in (Dummett 1981, 339–341).

19 A (seemingly) much simpler proposal than mine, for example, would hold that conditional commands are dyadic speech acts, each having two propositional contents: the compliance conditions of the command (e.g. that you attack at dawn), and the antecedent conditions under which the command is active (that the weather is fine). This, however, fails to generalise to more complicated cases, in which imperative conditionals are cojoined, disjoined, or used with “otherwise”, “only if” or “unless”.

	$w_{fa}$	$w_{f*}$	$w_{*a}$	$w_{**}$
$w_{fa}$	✓		✓	
$w_{f*}$	✓		✓	
$w_{*a}$	✓	✓	✓	✓
$w_{**}$	✓	✓	✓	✓

“Attack at dawn if the weather is fine!”

(A1), like (3), has variable compliance conditions – what it tells you to do depends on how things are. This is represented by the matrix shown above for (A1): each row represents a different possible compliance condition; (A1) varies its compliance conditions depending on which world is actual; the compliance conditions that are active at a world are those shown in the row headed by that world. Simple commands emerge as a special case: a simple command is simply a conditional command whose variable compliance conditions happen to assign the same simple compliance conditions to every world.

### 3.3 Logical connectives

The classical logical connectives – “and”, “or”, “not”, and the conditional – are operators on preproscriptions. They work in a similar way to the way that they did on the standard view: a pair should be a member of the content of a conjunctive sentence iff it is a member of the contents of both conjuncts, and so on: This means that the logical connectives have the same effect on the truth-conditions of assertions and on the compliance-conditions of simple commands that they did on the standard view: a conjunction of two assertions is true at the worlds where both of its conjuncts are true; a conjunction of two commands is complied with at the worlds where both of its conjuncts are complied with; and so on.

- $V(\ulcorner \phi \wedge \psi \urcorner)$  is the set of pairs of worlds  $(w, w')$  such that  $(w, w') \in V(\phi)$  and  $(w, w') \in V(\psi)$
- $V(\ulcorner \phi \vee \psi \urcorner)$  is the set of pairs of worlds  $(w, w')$  such that  $(w, w') \in V(\phi)$  or  $(w, w') \in V(\psi)$
- $V(\ulcorner \neg \phi \urcorner)$  is the set of pairs of worlds  $(w, w')$  such that  $(w, w') \notin V(\phi)$
- $V(\ulcorner \phi \rightarrow \psi \urcorner)$  is the set of pairs of worlds  $(w, w')$  such that  $(w, w') \notin V(\phi)$  or  $(w, w') \in V(\psi)$

We can also think of the imperative mood is also an operator on preproscriptions. It converts an assertoric preproscription into an imperatival one that is complied with at all and only those worlds that the original preproscription was true at. It takes the value of each cell across the diagonal of the input matrix, and reproduces those cells across each row of the output matrix.<sup>20</sup> To put it more carefully:

- $V(\ulcorner !\phi \urcorner)$  is that set of pairs of worlds  $(w, w')$  such that  $(w', w') \in V(\phi)$

The diagram below shows the relationship between “You will attack at dawn.” (an assertion) and “Attack at dawn!” (the corresponding simple command). I have highlighted the cells across the diagonal:

<sup>20</sup> In the terminology of two-dimensional modal logic, the imperative operator is the “Stalnaker dagger” (Stalnaker 1999, 82) applied to preproscriptions.

	W <sub>fa</sub>	W <sub>f*</sub>	W <sub>*a</sub>	W <sub>**</sub>
W <sub>fa</sub>	✓	✓	✓	✓
W <sub>f*</sub>				
W <sub>*a</sub>	✓	✓	✓	✓
W <sub>**</sub>				

“You will attack at dawn.”

	W <sub>fa</sub>	W <sub>f*</sub>	W <sub>*a</sub>	W <sub>**</sub>
W <sub>fa</sub>	✓		✓	
W <sub>f*</sub>	✓		✓	
W <sub>*a</sub>	✓		✓	
W <sub>**</sub>	✓		✓	

“Attack at dawn!”

i.e. “!(You will attack at dawn)”

Entailment can be defined between preproscriptions:

$p_1, \dots, p_n$  jointly *entail*  $q$  iff every member of each of  $p_1, \dots, p_n$  is also a member of  $q$

(i.e. every cell ticked in the matrices for all of  $p_1, \dots, p_n$  is also ticked in the matrix for  $q$ )

This means that entailment relations between assertoric preproscriptions agree with the truth-conditional definition of entailment I gave in the context of the standard view: where  $p$  and  $q$  are both assertoric,  $p$  entails  $q$  iff it can't be that  $p$  is true and  $q$  false; where  $p$  and  $q$  are both imperative,  $p$  entails  $q$  iff it can't be that  $p$  is complied with and  $q$  not complied with.

Now let's look at a conditional command. I suggested above that (A1) is of the form  $p \rightarrow !q$ . We can thus use the rules given above to determine its content. (A1) is a conditional, and its content is shown below together with the contents of its antecedent and consequent:

	W <sub>fa</sub>	W <sub>f*</sub>	W <sub>*a</sub>	W <sub>**</sub>
W <sub>fa</sub>	✓	✓	✓	✓
W <sub>f*</sub>	✓	✓	✓	✓
W <sub>*a</sub>				
W <sub>**</sub>				

“The weather is fine.” ( $p$ )

	W <sub>fa</sub>	W <sub>f*</sub>	W <sub>*a</sub>	W <sub>**</sub>
W <sub>fa</sub>	✓		✓	
W <sub>f*</sub>	✓		✓	
W <sub>*a</sub>	✓		✓	
W <sub>**</sub>	✓		✓	

“Attack at dawn!” ( $!q$ )

	W <sub>fa</sub>	W <sub>f*</sub>	W <sub>*a</sub>	W <sub>**</sub>
W <sub>fa</sub>	✓		✓	
W <sub>f*</sub>	✓		✓	
W <sub>*a</sub>	✓	✓	✓	✓
W <sub>**</sub>	✓	✓	✓	✓

“Attack at dawn if the weather is fine!” ( $p \rightarrow !q$ )

Notice that the preproscription matrix for (A1) that we arrived at by applying the semantic rules for the connectives is the same as the one that we arrived at in section 3.2 by the informal method of filling in the matrix using our intuitive grasp of (A1)'s variable compliance conditions.

### 3.4 Solving the problem of imperative consequence

We can now return to the problem of imperative consequence itself. If the contents of sentences are preproscriptions, then validity can be safely regarded as content-validity:

An argument is *valid* iff its the contents of its its premises jointly entail the content of its conclusion.

This criterion is general, because it applies across to arguments containing any mixture of imperative and indicative sentences. It is conservative, because, in the case of an argument consisting of just indicatives, all the preproscriptions in question are assertoric, so the requisite entailment relation will hold just if it can't be that the premises are true and the conclusion false. And finally, it is adequate with regard to all of the examples of this paper.



Let us check argument (A) for validity (I have here highlighted the cells that are ticked for both premises):

	$W_{fa}$	$W_{f*}$	$W_{*a}$	$W_{**}$
$W_{fa}$	✓		✓	
$W_{f*}$	✓		✓	
$W_{*a}$	✓	✓	✓	✓
$W_{**}$	✓	✓	✓	✓

(A1) “Attack at dawn if the weather is fine!”

	$W_{fa}$	$W_{f*}$	$W_{*a}$	$W_{**}$
$W_{fa}$	✓	✓	✓	✓
$W_{f*}$	✓	✓	✓	✓
$W_{*a}$				
$W_{**}$				

(A2) “The weather is fine.”

	$W_{fa}$	$W_{f*}$	$W_{*a}$	$W_{**}$
$W_{fa}$	✓		✓	
$W_{f*}$	✓		✓	
$W_{*a}$	✓		✓	
$W_{**}$	✓		✓	

(A3) “Attack at dawn!”

Argument (A) is valid: there is no cell not ticked for (A3) that is ticked for both (A1) and (A2).

Now consider argument (B):

B1) Flee for your life only if our plans are betrayed!  $!p \rightarrow q$

B2) You flee for your life.  $p$

B3) \* Therefore, let our plans be betrayed!  $!q$

Since the subject matter has changed, we need a new stock of worlds with which to label the matrices. To make this easier, I will adopt the convention of writing “ $w_{pq}$ ” for “worlds where  $p$  and  $q$ ” are true, “ $w_{p*}$ ” for “worlds where  $p$  is true and  $q$  is false”, and so on. The matrix for (B1) is then as follows:

	$w_{pq}$	$w_{p*}$	$w_{*q}$	$w_{**}$
$w_{pq}$	✓	✓	✓	✓
$w_{p*}$			✓	✓
$w_{*q}$	✓	✓	✓	✓
$w_{**}$			✓	✓

(B1) “Flee for your life only if our plans are betrayed!” ( $!p \rightarrow q$ )

The specific pattern of ticks and blanks shown here were derived using the rules given above. Like (A1), (B1) is neither an assertion nor a simple command. It is a conditional command, and we can use the idea of variable compliance conditions to understand what it is saying. (B1) has the trivial compliance conditions for worlds where its consequent is true (i.e. worlds where our plans are betrayed); for worlds where its consequent is false, its compliance conditions are those of the simple command “Do not flee for your life”. In short, (B1) is equivalent to “If our plans are not betrayed, then do not flee for your life!”

We can now check the argument (B) for validity:

	$W_{pq}$	$W_{p^*}$	$W_{*q}$	$W_{**}$
$W_{pq}$	✓	✓	✓	✓
$W_{p^*}$			✓	✓
$W_{*q}$	✓	✓	✓	✓
$W_{**}$			✓	✓

(B1)  $\neg p \rightarrow q$ 

	$W_{pq}$	$W_{p^*}$	$W_{*q}$	$W_{**}$
$W_{pq}$	✓	✓	✓	✓
$W_{p^*}$	✓	✓	✓	✓
$W_{*q}$				
$W_{**}$				

(B2)  $p$ 

	$W_{pq}$	$W_{p^*}$	$W_{*q}$	$W_{**}$
$W_{pq}$	✓		✓	
$W_{p^*}$	✓		✓	
$W_{*q}$	✓		✓	
$W_{**}$	✓		✓	

(B3)  $\neg q$ 

(B) is invalid: the highlighted cells are ticked for both premises and blank for the conclusion. I invite you check for yourself the arguments (I), (Y), (Z), (D) and (F). You will see that (I) and (D) are valid, and the others are invalid, just as our intuitions judge, guided by the rough-and-ready test. The criterion of validity I have just described is adequate, conservative and general.

### 3.5 Imperassertion

I solved the the problem of imperative consequence by treating assertions and commands as differing in content, rather than in force. I said much about their contents – that those contents are preproscriptions, that they can be used to solve the problem of imperative consequence, and so on – but I have not yet said much about force. What is it that assertions and commands have in common? Here is my answer to that: You can think of an assertion as an attempt to influence or constrain your interlocutors' beliefs; and a simple command as an attempt to influence or constrain your interlocutors' intentions.<sup>21</sup> An *imperassertion* is a generalisation of both, so that both assertions and commands are special cases of imperassertion.<sup>22</sup>

If that were the whole story imperassertion would perhaps appear an objectionable gerrymander – a disjunction of assertion and command designed to create the illusion that two quite different types of speech act have something in common. But it is not: there are *proper imperassertions* – imperassertions that are neither assertions nor simple commands – and among these are the conditional commands discussed in section 3.2. So imperassertion is not a disjunctive gerrymander: it is more like a continuum with assertion and simple command at the extreme ends. And the idea

21 I am thinking here of intentions as propositional mental states analogous to beliefs, and not reducible to a combination of desire and belief. Such a view of intentions has been developed at length by Michael Bratman – see (Bratman 1999), especially chapters 2 and 3. Also, I am departing from the tradition that holds that commands express, not intentions, but desires, as held, for example, by (Searle 1969, 64–67). Two arguments for this: first, consider the pacifist general, who combines no desire that any warlike acts occur with the intention to follow his own orders and command an attack. It seems to me that the pacifist general combines a lack of desire that an attack occur with an intention that an attack occur. This shows both that the intention that  $\phi$  can occur without the desire that  $\phi$ , and that commands express intentions, not desires, for when the general commands his soldiers “Attack!”, he does not express a desire that they attack, for he has no such desire. Second, if I am right that the optative mood functions like the imperative, except that it serves to express desires where the imperative expresses intentions (see footnote 22), then the difference between the imperative and optative moods is evidence that imperatives do not express desires.

22 How do other speech acts fit into this picture? The indicative mood is used to make assertions; imperative to make commands. But these two are not the only moods in English. Can preproscription semantics be extended to include the interrogative, used to make questions? In short, no, though this is a topic I would like to think more about. Questions seem to me to be quite different from assertions and commands. On my view, assertions express beliefs (and communicate them to others) and commands express intentions (and communicate them). In contrast, there is no propositional mental state (of “querying whether?”) that questions serve to express. It does seem to me to me that the optative mood (arguable present in the English sentence “O, to be rich!”) could be construed as a third dimension of preproscription semantics, related to desire as the imperative is related to intention.

that assertions and commands are on a continuum helps us to make sense of a phenomenon that would otherwise be quite difficult, namely, conditional commands.

An imperassertion, in general, is an attempt to influence or constrain your interlocutor's beliefs and intentions *together*. Some of the imperassertions I may make to you constrain your intentions only in a trivial way, and constrain your beliefs in the same way no matter what your intentions – those are assertions. Others constrain your beliefs only in a trivial way and constrain your intentions in the same way no matter what your beliefs – those are simple commands. The interesting cases of imperassertion aim to entangle your beliefs and intentions, so that certain combinations of belief and intention are ruled out. Take for example, the conditional command (A3) “Attack at dawn if the weather is fine”. On my view, this is a proper imperassertion that aims to entangle your beliefs concerning the weather with your intentions concerning attacking – that rules out the combination of a belief that the weather is fine with the lack of an intention to attack at dawn.

Suppose you are a soldier, and that you already believe that the weather will be fine. Then, when your commanding officer says to you “Attack at dawn if the weather is fine!”, her imperassertion and your beliefs are jointly inconsistent with your lacking the intention to attack at dawn. So, if the imperassertion succeeds in its communicative purpose, given your background beliefs, you will form the intention to attack at dawn. The proviso “if the imperassertion succeeds” is important – people are not hydraulic mechanisms to be shoved around by speech acts.

What about the case where you are a soldier, you have no beliefs about the weather, and your commanding officer says to you “Attack at dawn if the weather is fine!”? In this case what must happen is that you form some kind of lasting disposition to intend to attack, should you come to believe that the weather is fine. It is this disposition that I referred to above as “entangled” beliefs and intentions.

We can view a preposcription as a representation of a person's beliefs, intentions, and any entanglements thereof. Earlier I said that a preposcription is a set of pairs of worlds, of which the first member has to do with truth, and the second to do with compliance; now we will think of the first member of the pair as having to do with belief; and the second to do with intention. Let us call a person P's complete belief-intention state (all their beliefs, intentions, and entanglements thereof), construed as a preposcription, that person's *belief-intention-set*. We can then recover P's beliefs and intentions from P's belief-intention-set in the following way:

A world  $w$  is *doxastically possible* for a person  $P$  iff...

there is some  $(w, w')$  in P's belief-intention-set.

(i.e. the matrix for P's belief-intention-set has a tick in the  $w$  row)

A world  $w'$  is *intentionally possible* for a person  $P$  iff...

there is some  $(w, w')$  in P's belief-intention-set.

(i.e. the matrix for P's belief-intention-set has a tick in the  $w'$  column)

$P$  *believes* that  $\phi$  iff  $\phi$  is true at all worlds doxastically possible for  $P$ .

(i.e. P's belief-intention matrix has a row of blanks for every  $w$  at which  $\phi$  is false).

$P$  *intends* that  $\phi$  iff  $\phi$  is true at all worlds intentionally possible for  $P$ .

(i.e. P's belief-intention matrix has a column of blanks for every  $w$  at which  $\phi$  is false).

When someone successfully makes an imperassertion to you, they constrain your belief-intention-set by causing you to exclude from it all pairs that were not members of the content imperasserted.<sup>23</sup>

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23 Here I again draw on Robert Stalnaker's excellent article (Stalnaker 1999); the account of imperassertion here

Let's look at an example, using the sentences (A1) and (A2), and the stock of worlds that were suitable for representing their contents. Suppose you start off maximally open-minded, with no non-trivial beliefs or intentions one way or the other concerning either the weather or attacking, and no entanglements between them, either. The only belief-intention-set consistent with such open-mindedness is the tautologous preposcription; the one that contains every pair of every world.

Then suppose that someone successfully imperasserts (A1) “Attack at dawn if the weather is fine” to you. Your belief-intention-set should now contain the intersection of your previous belief-intention-set with the content of (A1). Since your previous belief-intention-set was the set of all pairs of worlds, that means that your belief-intention-set is now identical to the content of (A1):

	$W_{fa}$	$W_{f*}$	$W_{*a}$	$W_{**}$
$W_{fa}$	✓		✓	
$W_{f*}$	✓		✓	
$W_{*a}$	✓	✓	✓	✓
$W_{**}$	✓	✓	✓	✓

Your belief-intention-set after (A1) is successful on you.

Consider what this means for your beliefs and intentions. You are still maximally open-minded as regards belief alone, for there is a tick in every row. You are also still maximally open-minded as regards intention alone, for there is a tick in every column. But your beliefs and intentions are now entangled – changes to which worlds are doxastically possible for you could change which worlds count as intentionally possible for you (or vice versa).

Suppose that someone now successfully imperasserts (A2) “The weather is fine” to you. Your belief-intention set should now contain the intersection of the content of (A2), for which see section 3.1, with the preposcription shown above; that is:

	$W_{fa}$	$W_{f*}$	$W_{*a}$	$W_{**}$
$W_{fa}$	✓		✓	
$W_{f*}$	✓		✓	
$W_{*a}$				
$W_{**}$				

Your belief-intention-set after (A1) and (A2) are successful on you.

In this state, you believe that the weather is fine (no tick in any row headed by a world at which the weather isn't fine); you intend that you attack at dawn (no tick in any column headed by a world at which you don't attack); and your beliefs and intentions are no longer entangled.<sup>24</sup>

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advocated is intended to be a generalisation of his account of assertion. Note that I am using Stalnaker's work in two quite different ways in this paper: here, as a model for a theory of imperassertion; and throughout section 3 as the source of the matrix technique for visualising preposcriptions.

24 I earlier glossed “entanglement” as the possibility of a change in doxastic possibility constituting a change in intentional possibility or vice versa. More precisely, a preposcription is *disentangled* iff, in its matrix, every non-blank row carries the same pattern of ticks, and every non-blank column carries the same pattern of ticks. A preposcription is *entangled* iff it is not disentangled. Blanking a row (or a column) of a disentangled preposcription cannot have the effect of blanking a column (or row, respectively) unless it blanks the entire matrix.

This account makes especially good sense of arguments like Geach's argument (G).<sup>25</sup>

(G1) If you are a faithful subject, rise up, Sir George!

(G2) But do not rise, fellow!

Therefore (G3) You are not a faithful subject.

(This is valid on my semantics; for details, see section 4.2) Geach claimed that this argument pulled an indicative rabbit out of an imperative hat; but on my view the conditional premise (G1) is no less indicative than it is imperative. Imperative conditionals express a speaker's entangled beliefs and intentions, and that may have an illocutionary effect that is just as much like an assertions as it is like a command; that is what is happening here.

## 4 The logic of imperatives

This concludes my positive account of imperatives, and my solution to the problem of imperative consequence. The remainder of this paper explores some of the consequences of the definition of imperative validity given in the previous section.

### 4.1 Disanalogies with deontic logic

Many people expect that if the imperative mood is a sentence operator, then it might be expected to behave rather like the obligation operator of a deontic logic; which is to say, rather like the “box” operator of a modal logic. My semantics makes the imperative mood behave rather differently; this may be imagined to be a point against it, but I argue that it is a point in its favour.

In fact, there is a modal logic whose box operator behaves exactly as the imperative mood behaves on my view. It is the normal modal logic KDDc4 – the propositional modal logic with the following characteristic axioms, here expressed writing ! in place of box.<sup>26</sup>

(N) all instances of  $!\phi$  where  $\phi$  is a tautology

(K)  $!(p \rightarrow q) \rightarrow (!\rightarrow !q)$

(D)  $!\neg p \rightarrow \neg !p$

(S4)  $!p \rightarrow !!p$

(Dc)  $\neg !p \rightarrow !\neg p$

KDDc4 is stronger than any plausible deontic logic. The culprit is (Dc), which, when, interpreted with ! as obligation, would read “if it is not obligatory that p, then it is impermissible that p”. This does not sound very plausible as a logical truth. That, of course, is no objection to my criterion of validity, as I am not advancing it as a theory about obligation, but about the imperative mood.

It becomes an objection if someone says that a weaker modal logic – KD4, say, which omits the (Dc) axiom, or simply K4, which omits both (D) and (Dc) – is a better candidate to be the “logic of imperatives” than KDDc4 is.<sup>27</sup> Suppose someone said (as many people have said to me):

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25 I hasten to add that my argument for imperassertion does not rest on this treatment of (G), as (G) is quite an unusual argument. My argument for imperassertion is that it offers the best solution of the problem of imperative consequence consistent with the view that imperatives are not truth-apt.

26 I am grateful to David Ripley to pointing out KDDc4 to me, and for the disjunction argument that I use in my second reply to the objection. The proof that KDDc4 is sound and complete with respect to preposcription semantics is of some formal interest, and I hope to describe it elsewhere. When I say “in place of box” in the text here I could instead say “in place of diamond” – a distinctive feature of KDDc4 is that box and diamond are interchangeable.

27 This objection might favour an “accessibility” semantics like that of Chellas (1968), which delivers KD4, and could

There is a difference between negating a command and negating what is commanded. The former, “external negation” produces an act of permission – a *permissive* – the latter, “internal negation”, a positive command to not do something. For example, the external negation of “Attack at dawn!” is “You may refrain from attacking at dawn!” while the internal negation is “Do not attack at dawn!”

(Dc) is precisely the doctrine that the external negation of a command entails the internal negation – that, for example “You may refrain from attacking at dawn!” entails “Do not attack at dawn!”. But that is absurd!<sup>28</sup>

I have two things to say about this. First, the objection is ill-motivated. There are permissives, but they are *not* the negations of imperatives. “You may refrain from attacking at dawn!” is not the negation of “Attack at dawn!” (it doesn't even have the word “not” in it, and nor is any part of it in the imperative mood). There is no way in English (or any other natural language that I am aware of) of getting an act of permission by negating an imperative. So there is no reason to draw a semantically significant distinction between internal and external negation in relation to the imperative mood; KDDc4 correctly draws no such distinction.

So what is an act of permission then? This is something I would like to explore further but do not have space for here. As a starting point, they seem to be analogous to epistemic modals. The epistemic modal “The CIA might have killed Kennedy.” has something to do with ensuring that some worlds in which the CIA killed Kennedy are doxastically possible for the parties to a conversation; in a parallel way, the permissive “You may attack at dawn” has something to do with ensuring that some worlds in which you attack at dawn are *intentionally* possible for the parties to our conversation. But then, by analogy, the view that there is a way of “externally negating” an imperative to get a permissive is no more plausible than the view that there is a way of externally negating an indicative to get an epistemic modal.

Second, there are other respects in which KDDc4 is a better match for natural language uses of the imperative mood than KD4 (or any weaker logic) is. Consider a disjunctive command, such as:

(6) Attack or climb that hill!

If we treat the imperative mood as a sentence operator, then it appears that there are two ways of representing such a disjunction – depending on whether the disjunction is inside or outside the scope of the imperative mood:

(7)  $!p \vee !q$

(8)  $!(p \vee q)$

In KDDc4, these two are equivalent; in KD4, on the other hand, the former entails the latter, but not vice versa. KD4 thus draws a semantically significant distinction between internal and external disjunction in relation to the imperative mood. But this distinction, like the earlier distinction between internal and external negation, is a distinction without a difference. There is no scope ambiguity in the natural language disjunctive imperative corresponding to the one predicted by KD4; there is not two meanings of (6) corresponding to the distinction KD4 draws between (7) and (8). If the imperative mood is the box operator of a modal logic, that logic is more like KDDc4 than it is like KD4 or any other deontic logic.

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easily be weakened further. Chellas, however, has to hold that imperatives are truth-apt.

28 This is similar to the second objection to Hare's view of imperatives discussed in his (1967, 317–324). Hare accepts the validity of the (D) and (Dc) principles – as he puts it, “Do p” and “Do not do p” are “contradictories”. I am in broad agreement with his reply to the objection.

## 4.2 Geach's argument

Geach's “faithful subject” argument, (G), has some interesting features. It has, I suggest, the following form:

- G1) If you are a faithful subject, rise up, Sir George!  $p \rightarrow !q$   
 G2) But do not rise, fellow!  $!\neg q$   
 G3) Therefore, you are not a faithful subject.  $\neg p$

The second premise (G2) is subject to the point I made in the previous section about the (Dc) axiom, negation and the imperative mood. I have presented (G2) as an “internally” negated imperative; but on my view it would not make any difference if it were an “externally” negated imperative,  $\neg!q$ , for the two are logically equivalent. Either way, (G) is valid, as can be seen from the matrices below:

	$W_{pq}$	$W_{p^*}$	$W_{*q}$	$W_{**}$
$W_{pq}$	✓		✓	
$W_{p^*}$	✓		✓	
$W_{*q}$	✓	✓	✓	✓
$W_{**}$	✓	✓	✓	✓

(G1)  $p \rightarrow !q$

	$W_{pq}$	$W_{p^*}$	$W_{*q}$	$W_{**}$
$W_{pq}$		✓		✓
$W_{p^*}$		✓		✓
$W_{*q}$		✓		✓
$W_{**}$		✓		✓

(G2)  $!\neg q$

	$W_{pq}$	$W_{p^*}$	$W_{*q}$	$W_{**}$
$W_{pq}$				
$W_{p^*}$				
$W_{*q}$	✓	✓	✓	✓
$W_{**}$	✓	✓	✓	✓

(G3)  $\neg p$

Even someone who thought that there was a distinction between internal and external negation might agree that (G) is valid; the argument form shown above is also valid in KD4. If someone could give a reason to think that (G) is invalid, then that would be an objection to both my criterion and to any rival criterion that results in a logic at least as strong as KD4. Here is such an objection:

Perhaps the king has a perverse sense of humour, and likes giving his subjects inconsistent commands. In that case, it might be that the king commands both (G1) and (G2) but does not believe that George is unfaithful; he simply wishes to give George a command that he cannot obey. For George to validly draw the conclusion that he is not faithful, he has to assume that the king's commands are not inconsistent. In particular he must assume that if the king commands that George not rise, then the king does not also command that George rise. This assumption is a suppressed premise in (G), without which it is invalid.

It is this objection that I was thinking of in the previous section, when I said that someone who thought that imperative mood is like the obligation operator of a deontic logic might say that that logic is K4, in which neither (D) nor (Dc) is valid; and in which (G) would be invalid without the additional premise.

The objection, however, stems from a confusion between commanding and reporting that a command has been made. In asking whether (G) is valid, we are not asking what conclusions we can validly draw *from the fact that the king has commanded* in such-and-such a way; rather we are asking what conclusions we can validly draw *from the king's commands*. If this distinction seems too subtle, then consider the difference between the following two pairs of statements:

- (9) Rise up!  $!q$   
 (10) Do not rise up!  $!\neg q$

and

(11) The king commands that you rise up.

(12) The king commands that you do not rise up.

(9) and (10) are an inconsistent pair of commands; (11) and (12), however, are consistent, for they could both be true, if the king has perversely given you the inconsistent pair of commands (9) and (10). I have argued on the distinction between commands and reports that a command has been made on these grounds elsewhere.<sup>29</sup> An important difference between commands and reports that a command has been made, in my view, is that the imperative mood does validate the (D) axiom, and so (9) and (10) are an inconsistent pair; but the “x commands that...” operator does not, so (11) and (12) are not.

If (9) and (10) *are* an inconsistent pair, then (G) should be valid: (G2) is inconsistent with the consequent of (G1), so we can deduce that the antecedent of (G1) is false by modus tollens.

### 4.3 Ross's paradox

My semantics shares with the standard view an objection due to Alf Ross, connected with argument (R), below:

(R1) Post the letter!

Therefore, (R2) Post the letter or burn the letter!

(R) is valid on my criterion of validity, as it is on Hare's criterion (in both cases, because the conclusion is complied with at every world at which the premise is). But, Ross insists, it is “obvious that this inference is not immediately conceived to be logically valid”. (Ross 1944, 38) Ross does not say why this is obvious, but perhaps he was thinking of a case where you hear (R1), infer (R2) from it, and, noticing that complying with (R2) is consistent with burning the letter, burn it, thereby violating (R1).

It seems to me that Ross's paradox is not specific to imperatives; it is really an objection to classical logic. Consider the following argument, (P), which consists only of indicatives and is classically valid:

(P1) The letter is important.

Therefore, (P2) The letter is important or the letter is a piece of rubbish.

Whatever features (R) has that make it seem invalid are shared by (P). Suppose you are tidying up my office and taking away the scrap paper to be burned. You find the letter in the middle of a pile of similar rubbish. I say (P1), you infer (P2), and, noticing that (P2) is consistent with the letter being rubbish, take it away to be burned.

Should this make us think that (P2) is invalid? No: just because I asserted (P1), and (P1) validly entails (P2), it does not follow that you should behave as if I asserted (P2) instead. The same is true for (R): just because I commanded (R1), and (R1) validly entails (R2), it does not follow that you should behave as if I commanded (R2). Ross's paradox gives us no reason to deny that (P) is valid; therefore, it gives us no reason to deny that (R) is.

It may be thought that (R) is invalid because (R2) gives you permission to burn the letter, while (R1) does not. There is something to this idea, but again (P) is a parallel case. (P2) tells you only

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<sup>29</sup> See particularly my “unwanted consistency” (2012, 53–54) argument against cognitivism about imperatives.



that the letter is important or rubbish; but there would be no point in my telling you that if I believed that the letter was not rubbish. So it's reasonable for you to infer from my utterance of (P2) that I do not believe that the letter is not rubbish. Similarly, (R2) tells you only that you are to post or burn the letter (and not that you are permitted to burn it); but there would be no point in my telling you so if intended you not to burn it. So it is reasonable for you to infer from my utterance of (R2) that I do not intend that you not burn the letter. In both cases, an explicit disjunction carries a conversational implicature that its disjuncts do not, and this is responsible for the illusion that the arguments are not valid.<sup>30</sup>

#### 4.4 Some (surprisingly) invalid argument forms

Argument (S) is an instance of disjunctive syllogism, and is valid on my semantics:

(S1) Attack at dawn unless the weather is fine!	$!p \vee q$
(S2) The weather is not fine.	$\neg q$
Therefore (S3) attack at dawn!	$!p$

The following argument is not valid, however:

(P1) Attack at dawn or stand on your head!	$!p \vee !q$
(P2) You do not stand on your head.	$\neg q$
*Therefore (P3) Attack at dawn!	$!p$

The difference, of course, besides the slight change of subject matter, is in the use of the imperative mood in the first premise: “stand on your head” is imperative, “the weather is fine” indicative. The invalidity of (P) can be seen from the following matrices (I have highlighted the cells that are ticked for the premises and blank for the conclusion):

	$w_{pq}$	$w_{p^*}$	$w_{^*q}$	$w_{**}$
$w_{pq}$	✓	✓	✓	
$w_{p^*}$	✓	✓	✓	
$w_{^*q}$	✓	✓	✓	
$w_{**}$	✓	✓	✓	

$!p \vee !q$

	$w_{pq}$	$w_{p^*}$	$w_{^*q}$	$w_{**}$
$w_{pq}$				
$w_{p^*}$	✓	✓	✓	✓
$w_{^*q}$				
$w_{**}$	✓	✓	✓	✓

$\neg q$

	$w_{pq}$	$w_{p^*}$	$w_{^*q}$	$w_{**}$
$w_{pq}$	✓	✓		
$w_{p^*}$	✓	✓		
$w_{^*q}$	✓	✓		
$w_{**}$	✓	✓		

$!p$

Shouldn't (P) be valid though? Afterall, if you already know that you will not stand on your head, shouldn't you try to comply with (P1) by attacking? Maybe: but that doesn't show that (P) is valid. Employ the rough and ready test: for (P) to be valid, it would have to be that someone who commanded (P1) and asserted (P2) but did not wish to command (P3) was making a logical mistake. But it there is no logical mistake in my commanding you to attack or stand on your head, wishing you to make up your own mind which (and thus not being willing to command you to attack), and in believing that you will choose to attack rather than perform the headstand.

It does not matter, by the way, whether we take the scope of the imperative mood in (P1) to be narrow (as I did above) or wide. On my semantics,  $!(p \vee q)$  is equivalent to  $!p \vee !q$ . Also, since  $\rightarrow$  is the material conditional,  $!(p \rightarrow q)$  is equivalent to  $!(\neg p \vee q)$ ; so the argument form below,

<sup>30</sup> The view that Ross's paradox paradox turns on a conflation of conversational implicature with logical entailment is due to Hare (1967, 311); see also Smart (1984, 17–18).

$\neg(p \rightarrow q)$

$p$

Therefore,  $\neg q$

is also not generally valid. This form is of interest, because it is the form that the standard view believes (A) to have (the first premise being the simple command that a conditional be true, and the second premise being the assertion of its antecedent).

Another controversial argument is (O), below:

(O1) Send up a flare if you capture the enemy leader!  $p \rightarrow \neg q$

(O2) Capture the enemy leader!  $\neg p$

\*Therefore (O3) Send up a flare!  $\neg q$

(O) differs formally from (A) in that its second premise is in the imperative mood, rather than the indicative. It is shown to be invalid by the following matrices:

	$w_{pq}$	$w_{p*}$	$w_{*q}$	$w_{**}$
$w_{pq}$	✓		✓	
$w_{p*}$	✓		✓	
$w_{*q}$	✓	✓	✓	✓
$w_{**}$	✓	✓	✓	✓

$p \rightarrow \neg q$

	$w_{pq}$	$w_{p*}$	$w_{*q}$	$w_{**}$
$w_{pq}$	✓	✓		
$w_{p*}$	✓	✓		
$w_{*q}$	✓	✓		
$w_{**}$	✓	✓		

$\neg p$

	$w_{pq}$	$w_{p*}$	$w_{*q}$	$w_{**}$
$w_{pq}$	✓		✓	
$w_{p*}$	✓		✓	
$w_{*q}$	✓		✓	
$w_{**}$	✓		✓	

$\neg q$

Shouldn't (O) be valid? After all, if I tell you to send up a flare under some circumstances, and then tell you to make sure those circumstances obtain, aren't I implicitly telling you to send up a flare? No. Again apply the rough-and-ready test: there's no logical mistake in my commanding you to send up a flare if you capture the enemy leader, commanding you to capture the enemy leader, and not wanting to command you to send up a flare no matter what. On the contrary, to command you to send up a flare no matter what would defeat the purpose of making the conditional command (O1), which is to get you to conform your flare sending activities to whether you succeed in carrying out (O2).

## 4.5 Compliance gaps

Conditional commands, introduced in section 3.2, played an important role in motivating my solution to the problem of imperative consequence. I used the apparent difference between (3) and (4) to argue that imperative conditionals express conditional commands. Another argument – the *compliance gap* argument – to the same conclusion appeals to our intuitions about the compliance-conditions of imperative conditionals.

(3) Run if you see the monster!  $p \rightarrow \neg q$

(4) See the monster only if you run!  $\neg p \rightarrow q$

(3) is complied with if you see the monster and you run: the condition was satisfied and you did what the command said. (3) is violated if you see the monster and do not run: the condition was satisfied and you failed to do what the command said. But what if you do not see the monster? Then, says the argument, (3) is not complied with, for it did not tell you to do anything; but (3) was not violated either, for the same reason.

This suggests a gappy, or three-valued approach to compliance values and compliance-conditions. Let us say that an sentence is *avoided* iff it is neither complied with nor violated. The diagram below summarises the intuitions given above concerning the gappy compliance-conditions of (3) (writing C for comply, V for violate, A for avoid):

	$w_{pq}$	$w_{p^*}$	$w_{*q}$	$w_{**}$
	C	V	A	A

“Run if you see the monster!” ( $p \rightarrow !q$ )

In contrast, if we view (4) as forbidding you from seeing the monster should you not run, then (4) is complied iff you do not run and not see the monster; violated iff you do not run and do see the monster, and avoided otherwise:

	$w_{pq}$	$w_{p^*}$	$w_{*q}$	$w_{**}$
	A	V	A	C

“See the monster only if you run!” ( $!p \rightarrow q$ )

This shows that (3) and (4) are not equivalent, for they have different compliance conditions. They cannot both be equivalent, therefore, to the simple command that the conditional “If you see the monster, then you run” be true. At least one of them is a conditional command. Therefore there are conditional commands.

I did not use this argument earlier for two reasons. First, because I am not in the business of conceptually analysing “compliance” and “truth” here. In my usage, compliance is a semi-technical concept, like truth, and part of the regimentation is that conditionals like (3) are to be regarded as complied with when their antecedents are false. The compliance gap argument pumps our intuitions in a direction that is contrary to what I propose to mean by “compliance”. Second, because the compliance gap argument makes salient a very different approach to the problem of imperative consequence. On that approach, we would develop a three-valued imperative logic, with a non-classical (but extensional) treatment of the logical connectives.<sup>31</sup> This contrasts with my view, which combines a classical treatment of the logical connectives with an intensional imperativising operator.

I said above that I agree with the standard view in thinking that (3) is complied with if you do not see the monster. Here is a definition of compliance for preproscriptions that delivers that result:

$p$  is *complied with at a world*  $w$  iff the pair  $(w,w) \in p$ .

$p$  is *violated at a world*  $w$  iff the pair  $(w,w) \notin p$ .

$p$  is *complied with* iff  $p$  is complied with at the actual world.

$p$  is *violated* iff  $p$  is violated at the actual world.

Besides lacking gaps, this definition has the consequence that true assertoric preproscriptions are complied with, and false ones violated. In fact, these are two faces of the same problem. The conditionals that the gappy view would count as avoided are precisely those that my semantics counts as true. My conditionals are material conditionals, so  $p \rightarrow !q$  is true in the worlds where its antecedent is false and  $!p \rightarrow q$  is true in the worlds where its consequent is true; those are just the worlds where the gappy view wanted to count them as avoided (check the tables above).

<sup>31</sup> As developed by, for example, Peter Vranas (2008).

This suggests a way to recapture a sense of “compliance” that allows for gaps. To avoid confusion I will call the gappy notion of compliance “strong compliance”:

$p$  is *strongly complied with at a world  $w$*  iff  $p$  is complied-with-at  $w$  and is not true-at  $w$ .

$p$  is *strongly violated at a world  $w$*  iff  $p$  is violated-at  $w$  and is not false-at  $w$ .

$p$  is *strongly complied with* iff  $p$  is strongly complied with at the actual world.

$p$  is *strongly violated* iff  $p$  is strongly violated at the actual world.

Take (A1) for example:

	$w_{fa}$	$w_{f*}$	$w_{*a}$	$w_{**}$
$w_{fa}$	✓		✓	
$w_{f*}$	✓		✓	
$w_{*a}$	✓	✓	✓	✓
$w_{**}$	✓	✓	✓	✓

(A1) “Attack at dawn if the weather is fine!”

If the weather isn't fine, some would say, (A1) is not complied with – “there wasn't anything to comply with”. On the other hand, it isn't violated either. I can recapture this gappy notion of compliance in the following way: (A1) is strongly complied with at  $w_{fa}$ , strongly violated at  $w_{f*}$ , and neither at the other two worlds.

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